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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/071,363	02/07/2002	Richard C. Johnson	ORCL5775/OID-2001-195-01	8641
53156	7590	04/04/2006		
YOUNG LAW FIRM, P.C. 4370 ALPINE RD. STE. 106 PORTOLA VALLEY, CA 94028			EXAMINER WORJLOH, JALATEE	
			ART UNIT	PAPER NUMBER
			3621	
DATE MAILED: 04/04/2006				

Please find below and/or attached an Office communication concerning this application or proceeding.

Interview Summary	Application No.	Applicant(s)	
	10/071,363	JOHNSON, RICHARD C.	
	Examiner	Art Unit	
	Jalatee Worjloh	3621	

All participants (applicant, applicant's representative, PTO personnel):

(1) Jalatee Worjloh. (3) Alan W. Young.
 (2) James Reagan. (4) _____.

Date of Interview: 29 March 2006.

Type: a) ☒ Telephonic b) ☐ Video Conference
 c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No.
 If Yes, brief description: _____.

Claim(s) discussed: Independent claims.

Identification of prior art discussed: US Publication No. 2002/0147905.

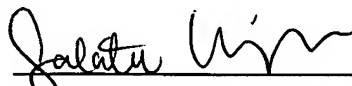
Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.


 Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

(1) The examiner acknowledges that there was a typographical error in the previous Office Action. That is, instead of citing paragraph [0055] of Perlman the examiner intended to cite paragraph [0054], which teaches a certificate that includes "at least one of a predetermined maximum payment that the holder of the certificate is authorized to validate and an identification of payees for which the certificate holder is authorized to validate payments".

(2) Alan Young, applicant's representative, explained the present invention further and indicated that both the predetermined maximum payment that the holder of the certificate is authorized to validate and an identification of payees for which the certificate holder is authorized to validate payments.

(3) The examiner noted that the phrase "at least one of" implies that either the predetermined maximum payment that the holder of the certificate is authorized to validate or an identification of payees for which the certificate holder is authorized to validate payments is included. Therefore, as shown in paragraph [0054] of Perlman, at least the predetermined maximum payment feature is taught.

(4) Conclusively, Mr. Young indicated that the claims will be modified to illustrate that both features are included. The examiner notified Mr. Young that upon receiving the response to the Office Action, further examination of Ginter et al. and Perlman will be performed in order to determine whether both elements are included in their certificates. Also, the examiner will further search the modified limitation..

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of) Examiner: Jalatee WORJLOH
Richard C. JOHNSON)
) Art Unit: 3621
For: MEMPHIS: MULTIPLE ELECTRONIC) Confirmation No.: 8641
MONEY PAYMENT HIGHLEVEL)
INTEGRATED SECURITY) Customer No.: 53156
)
Serial No.: 10/071,363)
)
Filed: February 7, 2002) <u>PROPOSED AGENDA FOR</u>
) <u>TELEPHONE INTERVIEW OF</u>
Atty. Docket No.: ORCL5775) <u>03/28/06</u>

Via Facsimile Only: 571-273-6714

Dear Examiner Worjloh,

As requested, the following sets out a proposed agenda for tomorrow's telephone interview with Exr. James Trammel and yourself.

During the telephone interview, I propose to discuss the secondary reference to Perlman. Specifically, I propose to point out that this reference does not supply the teachings acknowledged to be absent from the primary reference and how this reference does not even suggest any of the subject matter attributed to thereto in the outstanding Office Action. For example, kindly note that the Perlman reference does not even include the words "payment" "payee" or "maximum" or any synonyms or approximations thereof. Perlman is only concerned with shortening long certificate chains and issuing collapsed certificate chains at any point along the long certificate chain. Paragraph [0055] pointed to in the Office Action as teaching the subject matter acknowledged to be missing from the primary reference is the customary boilerplate paragraph regarding modifications that many practitioners stick at the end of their specification:

[0055] Finally, it will be appreciated by those of ordinary skill in the art that modifications to and variations of the above-described system and method for shortening certificate chains may be made without departing from the inventive concepts described herein. Accordingly, the invention should not be viewed as limited except as by the scope and spirit of the appended claims.

In fact, it is possible to describe the subject matter of each and every numbered paragraph in Perlman and not once refer to any authority of a certificate holder, validating any payments or payment requests, maximum payments or identified payees. In fact, all mentions of "authority" in Perlman refer to the certificate granting authority (the CA), and not to any authority of a certificate holder to take any action.

Moreover, I propose to respectfully request allowance of this application, as the previous 35 USC §102(e) rejection over the primary reference to Ginter et al. has been overcome and as the secondary reference asserted to teach the subject matter acknowledged to be missing from Ginter et al. is not even hinted at in Perlman.

I look forward to our telephone interview.

Respectfully submitted,

Date: March 27, 2006

By: 

Alan W. Young
Attorney for Applicant
Registration No. 37,970

YOUNG LAW FIRM, P.C.
4370 Alpine Rd., Ste. 106
Portola Valley, CA 94028
Tel.: (650) 851-7210
Fax: (650) 851-7232

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